



Event Agenda – November 2018

Financial Crime Compliance

金融犯罪

0900 – 0915

Welcome Address by InnoXcell
欢迎致辞

0915 – 1000

Keep on the Same Page with China Banking Regulatory Commission: Latest Regulation and Forecast
金融行业如何适行中国银保监会最新政策及未来监管方向预测

1005 – 1050

Building a Sustainable and Defendable Financial Crime Program in a Time of Digital Transformation
在数字化转型时期如何构建可持续和可防御的金融犯罪系统

1050 – 1120

Morning Networking Break 早间茶歇

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1120 – 1205
<p>Review of the Enforcement of Asset Management Guidance: Client Onboarding and Risk Management 从客户引导和风险管理角度回顾《关于规范金融机构资产管理业务的指导意见》的实施及对资产管理行业的影响</p>
1210 – 1300
<p>Trade Based Money Laundering – Misinvoicing and Tax evasion 基于交易的洗钱行为 — 伪报发票及逃税</p>
1300– 1400
<p>Networking Lunch 自助午餐</p>
1400 – 1445
<p>Using Intelligence-based Approach to Identified Ultimate Beneficial Ownership (UBOs) and Source of Wealth(SOW) 使用基于情报分析的方法确定最终受益所有权 (UBO) 和财富来源 (SOW)</p>
1450 – 1535
<p>Fintech OR “Fin-Fraud”: Illegal Funding Risk in the Digital Era 信息化时代“金融科技”外衣下的非法集资/欺诈风险分析及防范</p>
1535 - 1600
<p>Afternoon Networking Break 午间茶歇</p>
1600 – 1700
<p>Sanctions Compliance and Enforcement in china 中国合规制裁及执法</p>